

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Brownell, et al.

Serial No. 09/773,256

Art Unit: 2131

Filed: January 30, 2001

Examiner: Unknown

For: SECURITY MECHANISM FOR COMPUTER PROCESSING MODULES

Box: Missing Parts

Hon. Assistant Commissioner for Patents

Washington, D.C. 20231

I, JAMES D. IVEY, certify that this paper or fee and the listed enclosed papers, and return postcard are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to Box: Missing Parts, Honorable Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>August 2, 2001</u>.

August 2, 2001

Dear Sirs:

James D. Ivey, Reg. No. 37,016

RESPONSE TO NOTICE TO FILE MISSING PARTS -- FILING DATE GRANTED

The following papers are submitted in response to the Notice to File Missing Parts -- Filing Date Granted mailed on May 2, 2001.

- 1. A copy of the Notice to File Missing Parts supplied by the Patent Office (2 pages);
- 2. Petition for Extension of Time with certification of mailing by First Class Mail (1 page);
- 3. Abstract on a separate sheet (1 page);
- 4. Eleven (11) sheets of Formal Drawings;
- 5. Signed Declaration for Patent Application executed in counterpart (3 pages each total 9 pages);
- 6. Assignment of the invention to: Liquid Audio, Inc. (1 page);
- 7. Assignment Recordation Coversheet (1 page);
- 8. Information Disclosure Statement with certification of mailing by First Class Mail

(2 pages);

- 9. Information Disclosure Statement form list of documents (1 page);
- 10. Five (5) disclosed documents;
- 11. Request for Corrected Filing Receipt with certification of mailing by First Class Mail (1 page);
- 12. Copy of Form PTO 1082 (1 page);
- 13. Copy of Express Mail Corporate Account Statement (1 page); and
- 14. Form PTO-2038 Credit Card Payment Form (1 page).

Applicants respectfully submit that the referenced application is now in a condition for examination. Any questions or comments with respect to the referenced application or with respect to this paper should be directed to the undersigned by telephone at (510) 336-1100.

Respectfully submitted,

James D. Ivey

Attorney for Applicant Reg. No. 37,016

LAW OFFICES OF JAMES D. IVEY

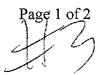
3025 Totterdell Street Oakland, California 94611-1742

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/773,256

3025 Totterdell Street

Oakland, CA 94611-1742

LAW OFFICES OF JAMES D. IVEY

01/31/2001

Jason S. Brownell

P-2170

CONFIRMATION NO. 4315

FORMALITIES LETTER

OC000000006032177*

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1230.
 - \$990 for 55 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2070.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- Abstract must be on a separate sheet.